



HOFFMAN, WARNICK & D'ALESSANDRO LLC

Intellectual Property Law
www.hwdpatents.com

Michael F. Hoffman
Spencer K. Warnick
Ronald A. D'Alessandro

FACSIMILE TRANSMISSION

FOR: USPTO

FROM: Ronald A. D'Alessandro - Reg. No. 42,456

DATE: 6/10/2002

NUMBER OF PAGES TO FOLLOW: -38-

DESTINATION FACSIMILE NUMBER: 703-746-9195

NOTE: **Attached:** Request for Substitute Papers (1 sheet)
Postcard showing receipt by OIPE on Feb. 8, 2002
Copy of all documents listed on postcard (36 sheets)

If you do not receive the entire transmission, please call (518) 449-0044.

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Wendy E. Thompson

Type or print name of person signing certification

Wendy E. Thompson 6/10/2002
Signature Date

NOTE: The information contained in or attached to this facsimile is attorney's PRIVILEGED AND CONFIDENTIAL information intended only for the person or entity named above. If you are not the intended recipient or someone responsible for delivering it to the intended recipient, please be aware that any copying or dissemination of this communication is STRICTLY PROHIBITED. If you receive this communication in error, please notify us at the telephone number listed above.

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
0189-2001

In Re Application Of: **Ramsingh et al.**

Serial No. 09/879,572	Filing Date 6/12/2001	Examiner	Group Art Unit 1645
--------------------------	--------------------------	----------	------------------------

Title: COXSACKIEVIRUS B4 EXPRESSION VECTORS AND USES THEREOF

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is:

- Notice to File Missing Parts of Nonprovisional Application	- Recordation Form Cover Sheet
- Declaration for Patent Application in 3 pgs.	- Assignment in 2 pgs.
- Substitute Drawings - 14 sheets	- Sequence Listing in 9 pgs.
- Response to Notice to File Missing Parts and Amendment to Incorporate Sequence Listing in 3 pgs.	- Sequence Listing on diskette

in the above identified application.

No additional fee is required.

Checks in the amount of \$130.00 (late filing fee) and \$40.00 (recordation fee) are attached.

The Commissioner is hereby authorized to charge and credit Deposit Account No. 500999 as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of

Credit any overpayment.

Charge any additional fee required.

[Signature]
Signature

Dated: **October 30, 2001**

Ronald A. D'Alessandro
Hoffman, Warnick & D'Alessandro LLC
Three E-Comm Square
Albany, New York 12207
(518) 449-0044 Telephone
(518) 449-0047 Fax

I certify that this document and fee is being deposited on 10/30/2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

[Signature]
Signature of Person Mailing Correspondence

Martha Laffety

Typed or Printed Name of Person Mailing Correspondence

cc:



UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 2
SEP - 4 2001
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/879,572	06/12/2001	Arlene I. Ramsingh	0189-2001

CONFIRMATION NO. 4742

FORMALITIES LETTER



OC000000006497235

Ronald D'Alessandro, Esq.
Hoffman, Warnick & D'Alessandro, LLC
Three E-Comm Square
Albany, NY 12207

Date Mailed: 08/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer

readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE